

FORM PTO-1449

MAY 15 2001

PATENT & TRADEMARK OFFICE
LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10991054-1

SERIAL NO.

09/483,185

APPLICANT

Francisco Corella

FILING DATE

Jan. 14, 2000

GROUP

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REFERENCE DESIGNATION

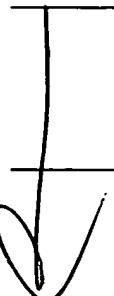
U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

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	1L							
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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	M. Boe et al., "TLS-Based Telnet Security," Internet Engineering Task Force, Internet Draft, pp. 1-20, (Updated Version October 24, 2000, Previous Version September 1998). < http://www.normos.org/ietf/draft/draft-ietf-telnet-tls-05.txt >
	1R	D. Borman, "Telnet Authentication Option," Network Working Group, Request for Comments: 1416, pp. 1-7, (February 1993). < http://www.csl.sony.co.jp/fc/ >
	1S	M. Carpenter et al., "Securing FTP with TLS," Internet Engineering Task Force, Internet Draft, pp. 1-23, (January 28, 1998). < http://war.jgaa.com/ftp/draft/draft-murray-auth-ftp-ssl-03.txt >

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OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

<i>CJB</i>	2Q	C. Ellison et al., "SPKI Certificate Theory," Network Working Group, Request for Comments: 2693, pp. 1-43, (Updated Version September 1999, Previous Version November 17, 1998). < http://www.csl.sony.co.jp/fc/ >
<i>J</i>	2R	S. Farrell, "TLS Extensions for AttributeCertificate Based Authorization," Transport Layer Security Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-11, (August 20, 1998). < http://www.alternic.org/draft/draft-ietf-tls-attr-cert-01.txt >
<i>J</i>	2S	M. Horowitz et al., "FTP Security Extensions," Networking Working Group, Request for Comments: 2228, pp. 1-27, (October 1997). < http://www.csl.sony.co.jp/fc/ >

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M. L. Danner

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3Q	R. Housley et al., "FTP Authentication Using DSA," CAT Working Group, Internet Engineering Task Force, Internet Draft, pp. 1-8, (February 1998). < http://www.alternic.org/draft/draft-ietf-cat-ftpsaauth-02.txt >
3R	R. Housley et al., "Telnet Authentication Using DSA," Secure Telnet Working Group, Internet Engineering Task Force, Internet Draft, 7 pages, (July 1998). < http://www.alternic.org/draft/draft-housley-telnet-auth-dsa-02.txt >
3S	J. Kohl et al., "The Kerberos Network Authentication Service (V5)," Network Working Group, Request for Comments: 1510, pp. 1-112, (September 1993). < http://www.csl.sony.co.jp/rfc/ >

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4Q	CJB	S. Micali, "Efficient Certificate Revocation," Massachusetts Institute of Technology, Laboratory for Computer Science, pp. 1-10 (March 22, 1996).
4R	J	M. Myers et al., "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol-OCSP," Network Working Group, Request for Comments: 2560, pp. 1-22, (June 1999). < http://www.csl.sony.co.jp/rfc/ >
4S	J	M. Naor et al., "Certificate Revocation and Certificate Update," USENIX Association, Seventh USENIX Security Symposium, pp. 217-228, (January 26-29, 1998).

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PATENT APPLICATION

Sheet 5 of 7

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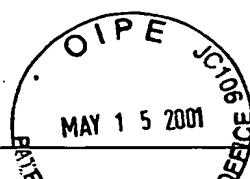
CGB	5Q	C. New man, "Telnet SASL Option," Network Working Group, Internet Engineering Task Force, Internet Draft, 6 pages, (November 1998). < http://globecom.net/ietf/draft/draft-newman-telnet-sasl-01.html >
	5R	E. Rescorla, "HTTP Over TLS," Internet Engineering Task Force, Internet Draft, pp. 1-6, (September 1998). < http://www.alternic.org/draft/draft-ietf-tls-https-02.txt >
	5S	RSA Security Inc., "RSA Keon Advanced PKI: A Security Architecture for Enabling E-Business," Solution White Paper, pp. 1-14, (1999). < http://www.rsasecurity.com/products/keon/whitepapers/adv_pkisw_p/rsakeon_advanced_pkisw_p.pdf >

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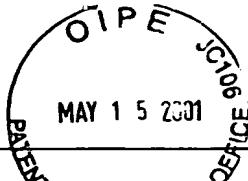
6Q	RSA Security Inc., "Security Services Provided by the RSA Keon Desktop v5.1," White Paper, pp. 1-8, (1999). < http://www.rsasecurity.com/products/keon/w hitepapers/desktop/keon_desktop_w p.pdf >
6R	B. Tung et al., "Public Key Cryptography for Initial Authentication in Kerberos," Internet Engineering Task Force, Internet Draft, 21 pages (Expires May 15, 1999) < http://www.alternic.org/draft/draft-ietf-cat-kerberos-pk-init-07.txt >
6S	Web Page of the "Simple Public Key Infrastructure (spki)," Working Group, 2 pages, (Last Modified January 16, 2001), < http://www.ietf.org/html.charters/spki-charter.html >

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PATENT APPLICATION

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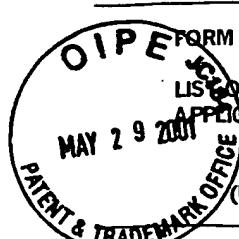
<i>CGP</i>	7Q	Web Page of the "Transport Layer Security (tls)," Working Group, 2 pages, (Last Modified March 30, 2001), < http://www.ietf.org/html.charters/tls-charters.html >
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	7S	

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LIST OF PATENTS AND PUBLICATIONS FOR
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10991054-1	09/483,185
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Francisco Corella	
FILING DATE	GROUP
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U.S. PATENT DOCUMENTS

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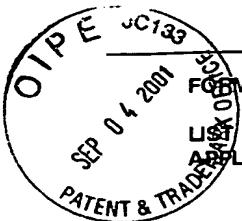
<i>CJB</i>	1Q	International Telecommunication Union (ITU-T) Recommendation X.509, "Information Technology Open Systems Interconnection, The Directory: Authentication Framework," pp. i-81 (June 1997).
	1R	
	1S	

EXAMINER

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8/29/03



ATTY. DOCKET NO.	APPLICATION NO.	CONFIRMATION NO.
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REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION
CJB	1L	WO 99/35783	15 July 1999	Matthew Hur et al.			
	1M						
	1N						
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	1P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CJB	1Q	R. Rivest, "Can We Eliminate Certificate Revocation Lists?," MIT Laboratory for Computer Science, pp. 178-183, (Feb. 1998). < http://theory.lcs.mit.edu/rivest >
	1R	Menezes, "Handbook of Applied Cryptography," pps. 33, 37-39, 321-322, 559-560, 576-577, (1997).
	1S	A copy of PCT International Search Report for International Application No. PCT/US01/01520 mailed on August 10, 2001(8 pages).

EXAMINER *Christopher Brown* DATE CONSIDERED *8/29/03*
 Rev 06/01 (PTO1449)



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LIST OF PATENTS AND PUBLIC DOCUMENTS FOR
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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
CJB	1A 4,881,264	11/1989	Merkle	
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	1E			RECEIVED SEP 06 2002
	1F			Technology Center 2100
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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
CJB	1L	WO 01/43344	14 June 2001	Burton S. Kaliski Jr		
	1M					
	1N					
	1O					
	1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

CJB	1Q	Press, Jim, "Secure Transfer of Identity and Privilege Attributes in an Open Systems Environment," Computers & Security, Vol. 10, No. 2., pps. 117-127, (April 1991).
	1R	"SET Secure Electronic Transaction Specification," Book 1: Business Description, Version 1.0, XP-002203148, pps. i-72, (May 31, 1997).
	1S	A partial copy of European Search Report for Application No. EP 00 31 0771 mailed on July 12, 2002 (4 pages).

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Christopher J Brown

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8/29/03